

Instructions to complete this Application Form

- Please read and understand the terms and conditions applicable to Applicant as posted at <https://www.apextrade.com/> carefully before completing the form.
- To provide the supporting documents as required, complete all applicable fields on this application form and tick (V) whichever is applicable.
- Note that usage of correction fluid is not permitted. Any amendments made must be counter-signed by the Applicant.

1. INVESTOR PARTICULARS

Title	Name of Applicant*	* as per NRIC / Passport / Other ID ("ID")
<input style="width:80%" type="text"/>	<input style="width:80%" type="text"/>	
This e-mail address will be registered for electronic contracts & monthly statements		
ID No.*	Client Code	E-Mail Address
<input style="width:80%" type="text"/>	<input style="width:80%" type="text"/>	<input style="width:80%" type="text"/>

2. FOREIGN CURRENCY SECURITIES TRADING FACILITIES (DOMESTIC RINGGIT BORROWING)

- I am resident of Malaysia and:
- I DO NOT HAVE domestic ringgit credit facilities^{Note B} and are thus allowed to convert up to RM50,000,000.00 in aggregate per calendar year for investment in foreign currency assets. I hereby to undertake to inform Apex Securities Bhd ("ASB") immediately should I obtain domestic ringgit facilities or upon request by ASB.
- I HAVE domestic ringgit credit facilities and are thus allowed to convert up to RM1,000,000.00 in aggregate per calendar year for investment in foreign currency assets.
- I am non-resident of Malaysia.

Note B: Domestic ringgit credit facilities include any ringgit advance, loan, trade financing, hire purchase, factoring, leasing facilities, redeemable preference shares or similar facility in whatever name or form, **other than / except for** (i) trade credit terms extended by a supplier for all types of goods and services; (ii) forward exchange contracts entered into with licensed onshore banks; (iii) one personal housing loan and one vehicle loan obtained from residents; (iv) credit card and charge card facilities; and (v) inter company borrowing within a corporate group.

3. FOREIGN ACCOUNT TAX COMPLIANCE ACT ("FATCA") & COMMON REPORTING STANDARD ("CRS") SELF-CERTIFICATION Tick (V) whichever applicable
(Optional if have been declared)

- a) Tax Residency Status: Malaysia Tax Resident Multiple Tax Resident Non-Malaysian Tax Resident

Country of Tax Residence	Tax Identification Number (TIN)	Reason (A, B or C), if TIN or equivalent unavailable

- Reason A** - Country does not issue TIN to its residents.
Reason B - No tax obligation (i.e. TIN application in progress, not required to register tax file, housewife / househusband, retiree, student, others).
Reason C - No TIN is required (note: only select this reason if the authorities of the country of residence for tax purposes do not require the TIN to be disclosed).

- b) **Disclosure under CRS requirement:** Are you a Controlling Person (and holding directorship) of more than 25% shareholding?
 No Yes. Pls. enter the legally registered Name of Entity: _____ Percentage of Shareholding: _____%

- c) If you are not the Account Holder please indicate the Capacity ^{Note D} in which you are signing the Form (for example "Authorised Officer"). If signing under a power of attorney please also attached a certified copy of the power of attorney.
- Capacity ^{Note D}:
- [Note D:** The word "Capacity" refers to your designation / position (e.g. secretary / lawyer / etc.) or your relationship (e.g. wife / brother / etc.) with the Account Holder].

4. DECLARATION, CONSENT AND AGREEMENT BY APPLICANT

By signing this Application Form, I hereby declare/agree/undertake that:

- The information given herein, including all documents attached hereto, are true and complete in every respect and do not contain any false or misleading information and I have not wilfully withheld any facts. I shall keep you informed of any change in particulars given in this Application Form.
- Pursuant to Bursa Malaysia Securities Berhad's Rule 5.15 (8) and Rule 5.15 (9), I do solemnly declare that the dealings in securities in respect of my trading account are/shall be carried out for me as principal.
- Apex Securities Berhad ("ASB") shall have the right to reject my application at ASB's sole discretion without any reason whatsoever.
- I confirm that ASB is authorised to verify any information and/or make any checks and/or obtain any information and/or confirmation at any time and from time to time now and /or in the future, with or from any credit reference/reporting agencies, including but not limited to and/or any other agencies and/or from any financial institution and/or any other source(s) that ASB may in its sole discretion deem appropriate and to provide such aforesaid party(s) with the required information requested to enable ASB to ascertain my status and/or any other person, individual, its directors, shareholders, officers, personnel, guarantor, security provider and/or entity related to and/or associated with me as maybe required by ASB for the purpose of the consideration of this application and thereafter if this application is approved, for the purposes of continued maintenance of my trading account with ASB; and/or recovery of debts due and payable under my trading account; and/or any purpose related to or in connection with my trading account applied for; and/or for any other purpose, that is required or permitted by any law, regulations, guidelines and/or relevant regulatory authorities.

Initial	
---------	--

4. DECLARATION, CONSENT AND AGREEMENT BY APPLICANT – Cont'd

- 5. I am fully aware of and understand the processes, procedures and risks involved in using the services and executing transactions through the online trading facilities.
- 6. I am fully aware of and understand and agree to comply with the relevant laws, rules and regulations relating to the services provided by ASB to me under the ASB's General Terms and Conditions for dealing in securities and/or derivatives, declarations, risk and obligations associated with the trading in securities and/or derivatives contracts, and/or supplementary terms and conditions.
- 7. In consideration of ASB agreeing to this request, I hereby agree that: (i) not to hold ASB responsible for any losses arising thereof and unconditionally indemnify ASB at all times against all claims, losses, liabilities, expenses, and cost whatsoever incurred by ASB in connection with this request herein; (ii) to refund to ASB of any payments inadvertently or erroneously made to me or credited into the above mentioned bank account; (iii) my above request shall remain in force until revoked in writing by me and the said revocation is received and acknowledged by ASB; and (iv) I shall indemnify ASB against any losses, damages, debts, charges, taxes and all other costs and expenses whatsoever incurred, withheld or suffered or which ASB may incur or suffer by reason of or in relation to or arising from or in connection with the provision of services by ASB to me under the ASB's General Terms and Conditions for dealing in securities and/or derivatives, declarations, risk and obligations associated with the trading in securities and/or derivatives contracts, and/or supplementary terms and conditions.
- 8. I acknowledge and agree that ASB may retain, for the benefit of ASB and without any obligation to account to me, any interest ASB receives from my monies deposited into my trading account (the "Client's Monies"). I further consent to ASB withdrawing the Client's Monies and any interest received on the Client's Monies from the Client's Account, to the extent permitted by applicable laws, including but not limited to paying out such interest. ASB may in its sole and absolute discretion, taking into account any withholding tax and any administrative expenses incurred by ASB in maintaining the Client's Account, pay any interest received on the Client's Monies to me. I agree and acknowledge that any interest paid by ASB to me may be less than that received by ASB. I duly verify that this is my written direction allowing ASB to do the above.
- 9. I have read and fully understood the ASB's General Terms and Conditions for dealing in securities and/or derivatives, declarations, risk and obligations associated with the trading in securities and/or derivatives contracts, and/or supplementary terms and conditions as posted at <https://www.apextrade.com/> (which shall include any amendment, variation, modification, supplement, substitution and replacement made by ASB from time to time), along with this Trading Account Application Form, collectively referred to as "Agreement". I confirm that I shall be bound the terms, conditions and/or requirements in the Agreement or such additional amendments or terms and conditions as may be made, revised or updated from time to time (where applicable).
- 10. I hereby consent to receive my daily and monthly statements, invoices (which may be incorporated and issued as part of the daily contract/statements), or any other statements or notifications ("statements/notifications") that may be made available to me by ASB in electronic form and to be delivered to my email address as provided in this application form and which as may be updated from time to time in ASB's record. I agree for ASB to adopt an alternative mode of delivery as may be determined by ASB in issuing and delivering the statements/notifications in the event of a system failure.
- 11. I hereby acknowledge that I have accessed, read and agreed to be bound by the Privacy Statement issued by ASB at posted at www.apxequity.com.my.

And I make this solemn declaration and agreement conscientiously believing the same to be true.

SUBSCRIBED AND SOLEMNLY declared by the account holder:

Signature of Client	: _____	Signature of Witness	: _____
		(Licensed DR/Remisier or Authorized Personnel / Management of Apex Securities Bhd or a Commissioner for Oaths / Notary Public)	
Name of Client	: _____	Name of Witness	: _____
Date	: _____	NRIC / Passport No.	: _____

5. FOR OFFICE USE ONLY

Date Received: _____ Initial: _____ Name: _____

Data Entry By: _____ Name: _____ Date: _____

Checked By: _____ Name: _____ Date: _____

6. IMPORTANT DOCUMENTS REQUIRED

Supporting Documents required to be submitted by Applicant:

- 1. The applicant is required to complete the Form W-8BEN. For US citizen / where applicable, please submit Form W-9.

[This section intentionally left blank]